

**REQUEST  
FOR  
CONTINUED EXAMINATION (RCE)  
TRANSMITTAL**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,  
provides for continued examination of an utility or plant application  
filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/588,628
Filing Date	June 7, 2000
First Named Inventor	Kazutaka SHIBATA
Art Unit	2814
Examiner Name	N. Ha
Attorney Docket Number	ROH-0023

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000) which established RCE practice.

1. **Submission required under 37 C.F.R. § 1.114**

- a. ☒ Previously submitted  
i. ☒ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on May 30, 2002  
(Any unentered amendment(s) referred to above will be entered).  
ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_  
iii. ☐ Other \_\_\_\_\_  
b. ☒ Enclosed  
i. ☒ Preliminary Amendment/Reply  
ii. ☐ Affidavit(s)/Declaration(s)  
iii. ☐ Information Disclosure Statement (IDS)  
iv. ☐ Other \_\_\_\_\_

2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required.)  
b. ☐ Other: \_\_\_\_\_

3. **Fee**

The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to  
Deposit Account No. 18-0013.  
i. ☒ RCE fee required under 37 C.F.R. § 1.17(e) 07/08/2002 AWONDAF1 00000110 180013 09588628  
ii. ☐ Two-month Extension of time fee (37 C.F.R. §§ 1.136 and 1.17) 01 FC:179 740.00 CH  
iii. ☐ Other \_\_\_\_\_  
b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed  
c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT IS REQUIRED**

Name (Print/Type)	Carl Schaykowitz, Esquire	Registration No. (Attorney/Agent)	29,211
Signature	<i>Carl Schaykowitz</i>	Date	July 3, 2002

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print/Type)

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Commissioner for Patents, Box RCE, Washington, DC 20231.



RCE/2814  
\$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Kazutaka SHIBATA

Application No.: 09/588,628

Filed: June 7, 2000

For: SEMICONDUCTOR CHIP WITH EXTERNAL CONNECTING TERMINAL (AS AMENDED)

Attorney Docket No.: ROH-0023

Examiner: N. Ha

Art Unit: 2814

Confirmation No. 8757

**AMENDMENT TRANSMITTAL**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is an Amendment in the above-identified application.

☐ No additional fee is required.

The fee for the claims as amended has been calculated as shown below:

CLAIMS AS AMENDED							
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID	PRESENT EXTRA	SMALL ENTITY RATE		OTHER THAN A SMALL ENTITY	ADDITIONAL FEE
TOTAL CLAIMS	12 MINUS	20 =	0	X \$9	OR	\$18	\$0.00
INDEP. CLAIMS	5 MINUS	4 =	1	X \$42	OR	\$84	\$84.00
Fee for Multiple Dependent Claims \$140/\$280					OR		
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT							\$84.00

- ☐ A Letter to the Official Draftsperson is enclosed.
- ☐ An Associate Power of Attorney and Change of Name and Address is enclosed.
- ☒ Charge **\$84.00** to Deposit Account No. 18-0013. A duplicate copy of this sheet is enclosed.

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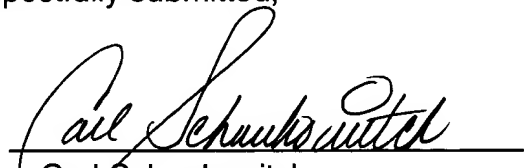
- ☐ Any prior general authorization to charge an Issue Fee under 37 C.F.R. 1.18 to Deposit Account No. 18-0013 is hereby revoked. The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of this application, or to credit any overpayment, to Deposit Account No. 18-0013. A duplicate copy of this sheet is enclosed.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 18-0013 to cover the Extension fee for response within \_\_\_\_\_month(s).
- ☒ Applicant's undersigned attorney may be reached by telephone in our Washington D.C. Office at (202) 955-3750.

All correspondence should be directed to our below listed address.

Respectfully submitted,

Date: July 3, 2002

By:

  
Carl Schaukowitz  
Reg. No. 29,211

**RADER, FISHMAN & GRAUER PLLC**  
1233 20<sup>th</sup> Street, N.W. Suite 501  
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Fax: (202) 955-3751  
Customer No. 23353

Enclosure(s):      Preliminary Amendment  
                         Request for Continued Examination

DC094467

**RADER,**

**FISHMAN**

**& GRAUER**

PLLC

Via Facsimile and Mail

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**Yoichiro Yamaguchi  
(202) 955-3750  
yy@raderfishman.com**

July 3, 2002

Mr. Jitsuo Kawasaki  
AI Association of Patent  
and Trademark Attorneys  
Kinyukoko-Sumisei Bldg.  
5-20, Minamihommachi 4-chome, Chuo-ku  
Osaka 541-0054 JAPAN

Re: U.S. Patent Application of Kazutaka SHIBATA  
Application No.: 09/588,628; Filed: June 7, 2000  
For: SEMICONDUCTOR CHIP WITH EXTERNAL CONNECTING  
TERMINAL (AS AMENDED)  
Your Reference No.: 0716-1  
Our Reference No.: ROH-0023  
Our File No.: 80246-0023

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Dear Mr. Kawasaki:

Thank you for your July 3, 2002 facsimile letter and instructions regarding the above-identified application.

We are pleased to report that we have prepared and timely filed a Request for Continued Examination and a Preliminary Amendment in the U.S. Patent and Trademark Office.

We will contact you promptly upon receipt of the next communication from the U.S. Patent and Trademark Office. Our debit note for services and disbursements will accompany the confirmation copy of this letter which will be sent to you shortly by U.S. mail.

In the meantime, please contact us if you have any questions regarding this matter

Very truly yours,

**RADER, FISHMAN & GRAUER PLLC**

*Yoichiro Yamaguchi*  
Yoichiro Yamaguchi

YY/CS/ddd

Enclosure(s): Request for Continued Examination (*two copies*)  
Preliminary Amendment (*two copies*)

DC094473

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Computer and Internet Law • Trade Secrets • Unfair Competition*

Bloomfield Hills

Washington, D.C.

Denver

Salt Lake City

Tokyo



Confirmation Page

Date:july 3, 2002

Client/Matter:80246-0023

Amount:740

Fee Code:179

Reference No.:ROH-0023

Requested By:Dynese Davis

Requesting Attorney:Carl Schaukowitch

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Date:july 3, 2002

Client/Matter:80246-0023

Amount:84

Fee Code:102

Reference No.:ROH-0023

Requested By:Dynese Davis

Requesting Attorney:Carl Schaukowitch